

# Office of the Inspector General Summary of Activities

PERIOD OF 11/05/18 – 12/07/18

# Achieve Business Process Excellence and Maintain Financial Stability

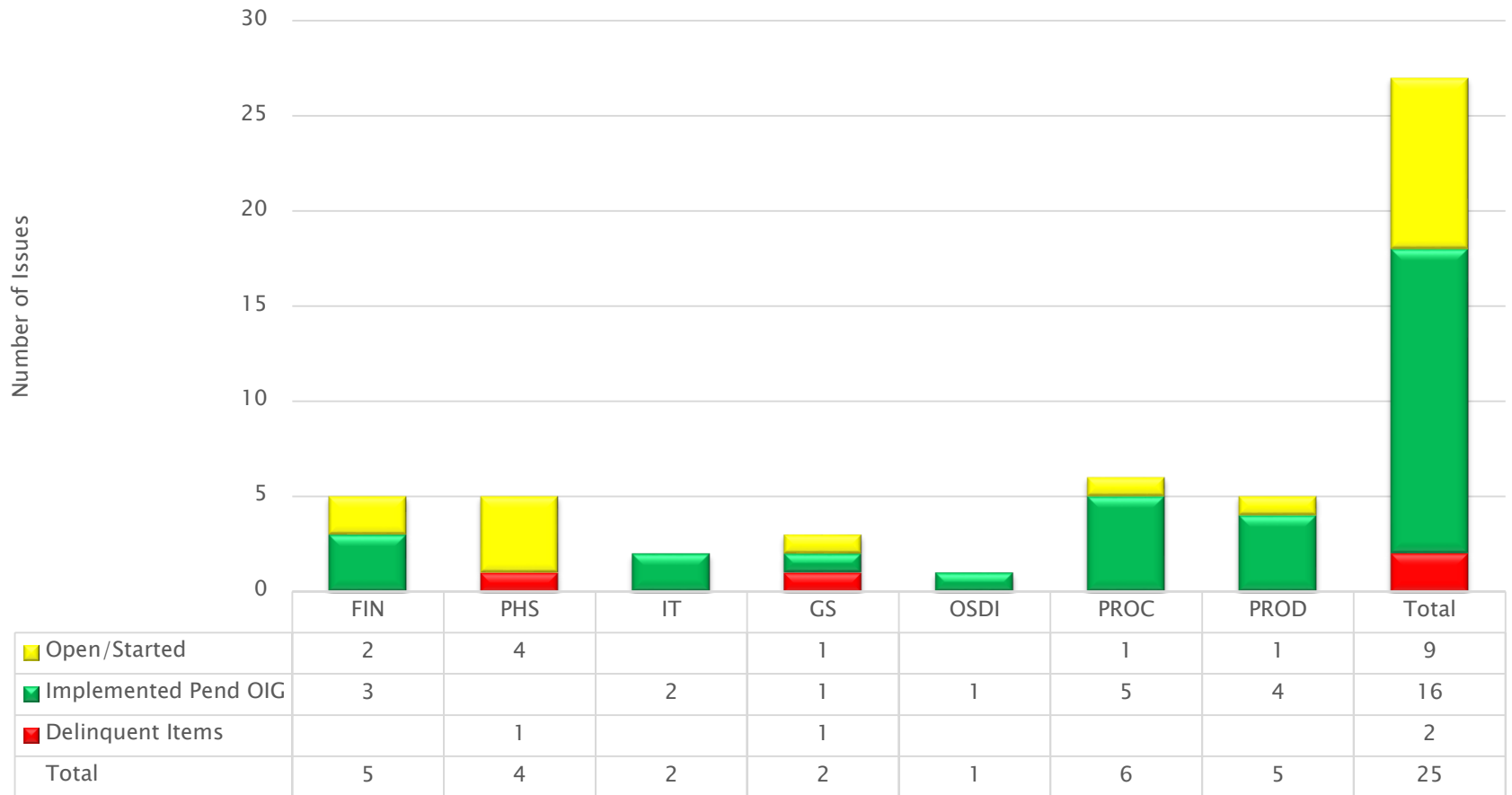
# FY 2019 Audit Plan & Activities Dashboard

	QUARTERLY STATUS			
	Q1 Jul – Sep	Q2 Oct – Dec	Q3 Jan – Mar	Q4 Apr – Jun
FY'19 Approved Budget: \$1,348,142	\$1,342,196	\$1,180,945		
FY'19 Risk-Based Audit Projects:				
Blue Plains O&M Billing FY'17	●	●		
Multi-Jurisdiction User Facility O&M Indirect Billings FY'17	●	●		
Claims Checking Account Close-out	●	●		
FY'18 Carryover Audit Projects:				
Blue Plains O&M Billing FY'15 & FY'16	●	●		
Fleet Services Performance Audit	●	●		
IT Basic Ordering Agreement	●	●		
Retiree Benefits	●	●		
Continuous Compliance Audits/Reviews:				
Procurement-Card Reviews	●	●		
Accounts Payable Reviews	●	●		
Bi-Weekly Payroll Reviews	●	●		
System Development Charges	●	●		
External Auditing Services	●	●		

Legend		
Complete	In Process	Not Started
●	●	●



## Management Action Plans Dashboard



# Improve Infrastructure

# System Development Charges (SDCs) Audit Dashboard

	QUARTERLY STATUS			
	Q1 Jul – Sep	Q2 Oct – Dec	Q3 Jan – Mar	Q4 Apr – Jun
Clarksburg Triangle, Part 1	●	●		
Cabin Branch/Clarksburg Triangle	●	●		
Brickyard South, Pt.3B	●	●		
Mid-Pike Plaza, Pt. 1, Korvette Shopping Center	●	●		
Cabin Branch Subdivision, Pt. 5	●	●		
Cabin Branch Subdivision, Pt. 32	●	●		
PSEG Keys Energy Center	●	●		
Rock Spring Park	●	●		
Shady Grove Station, Pt. 1, Sewer Extension	●	●		
Beach Tree South Village, Pt. 12A, Sewer Extension	●	●		
Cabin Branch, Pt. 28	●	●		
Cabin Branch, Pt. 21	●	●		
Cabin Branch, Pt. 23	●	●		
Pulte Homes/Summerfield Crossing	●	●		
The Village of Clagett Farm, Pt. 1	●	●		
The Village of Clagett Farm, Pt. 2	●	●		

Active	Not Started	On Hold	Inactive	Complete
●	●	●	●	●

# Protect our People, Infrastructure, Systems, and Resources

# Fraud, Waste and Abuse (FW&A)

Provided FW&A Training to 15 new employees on 11/19/18 during the New Employee Orientation





# Ethics Program Update November 2018

## Board of Ethics Meeting held on 11/8/2018

- 4 Open Session Items
- 4 Closed Session Items

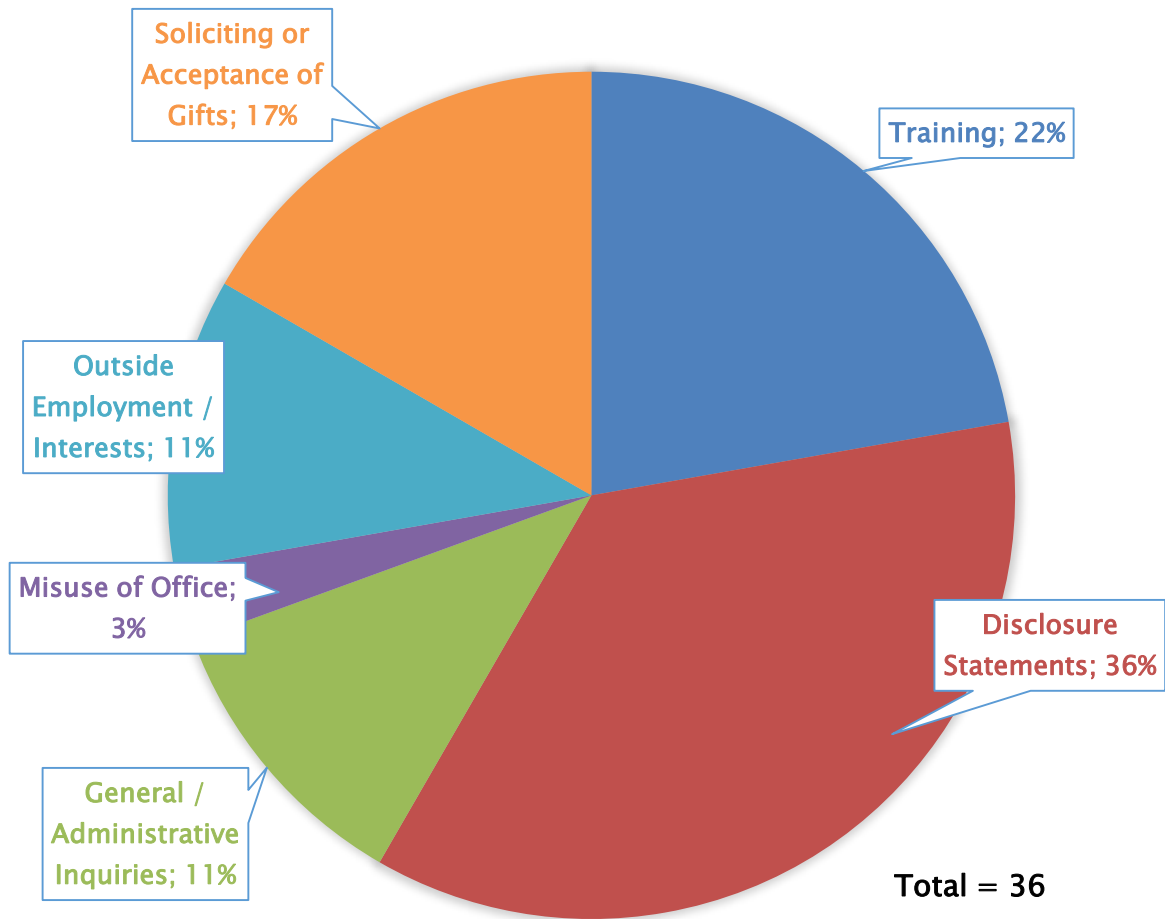
## Ethics Training

- 15 new employees  
trained on 11/19/2018

## Guidance

#EthicsQuestions, phone,  
walk-ins, etc.

## ETHICS OFFICE GUIDANCE FOR NOVEMBER 2018 BY CATEGORY



# Board of Ethics Vacancy/Expired Term Search

- ❑ Selection Committee:
  - ❑ Conducted three interviews of the qualified applicants
  - ❑ The remaining four applicants are scheduled to be interviewed
  - ❑ Will recommend top candidates to Commissioners for appointment vote

