



Office of the Inspector General – Quarterly Audit Committee Meeting

August 18, 2021

Agenda

- Approval of Agenda
- Approval of Minutes – May 19, 2021
- Status Update
- Adjournment

Action

Vote

Vote

Informational



Status Updates

- Follow-up on Management Action Plans
- 4th Quarter Fraud, Waste and Abuse Report



Follow-up on Management Action Plans

Quarter Ending July 31, 2021				
Department	Corrective Actions			OIG Verified Closed Actions
	Open	Total	Due Dates	
General Services Department	1	1	March 31, 2022*	
Human Resources Department	7	7	1 Action Item was due June 30, 2021 ¹ 1 Action Item due March 31, 2022 ² 3 Action Items due December 31, 2021 ³ 1 Action Item due February 28, 2022 ⁴ 1 Action Item due December 31, 2022 ⁵	1
TOTAL	8	8		1

Footnotes:

* General Services was granted three (3) extensions for this action plan.

1- Eligibility not verified.

2- No documented performance measures.

3- Policies Conflict, discontinued verification, and no review confirming participants.

4- Procedures not aligned w/practice.

5- No review confirming participants.



Color Key
Management action plan
is overdue

Questions?

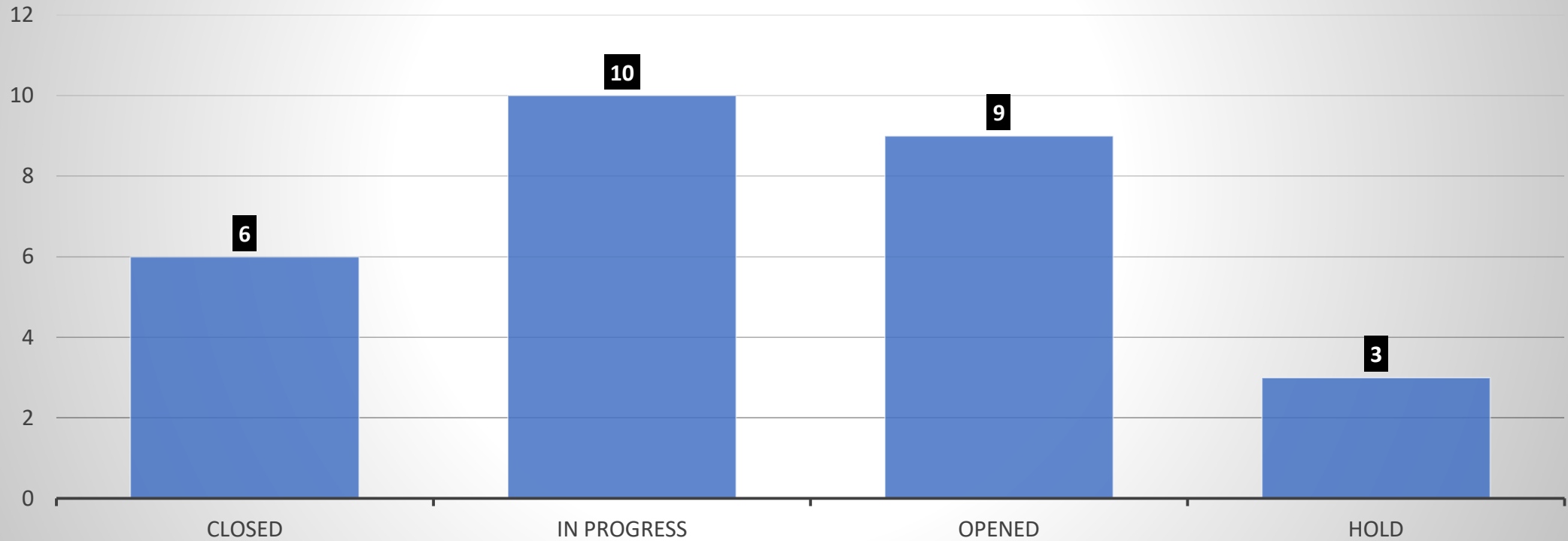


4th Quarter FY 2021 Fraud, Waste and Abuse Report (04/01/2021 – 06/30/2021)



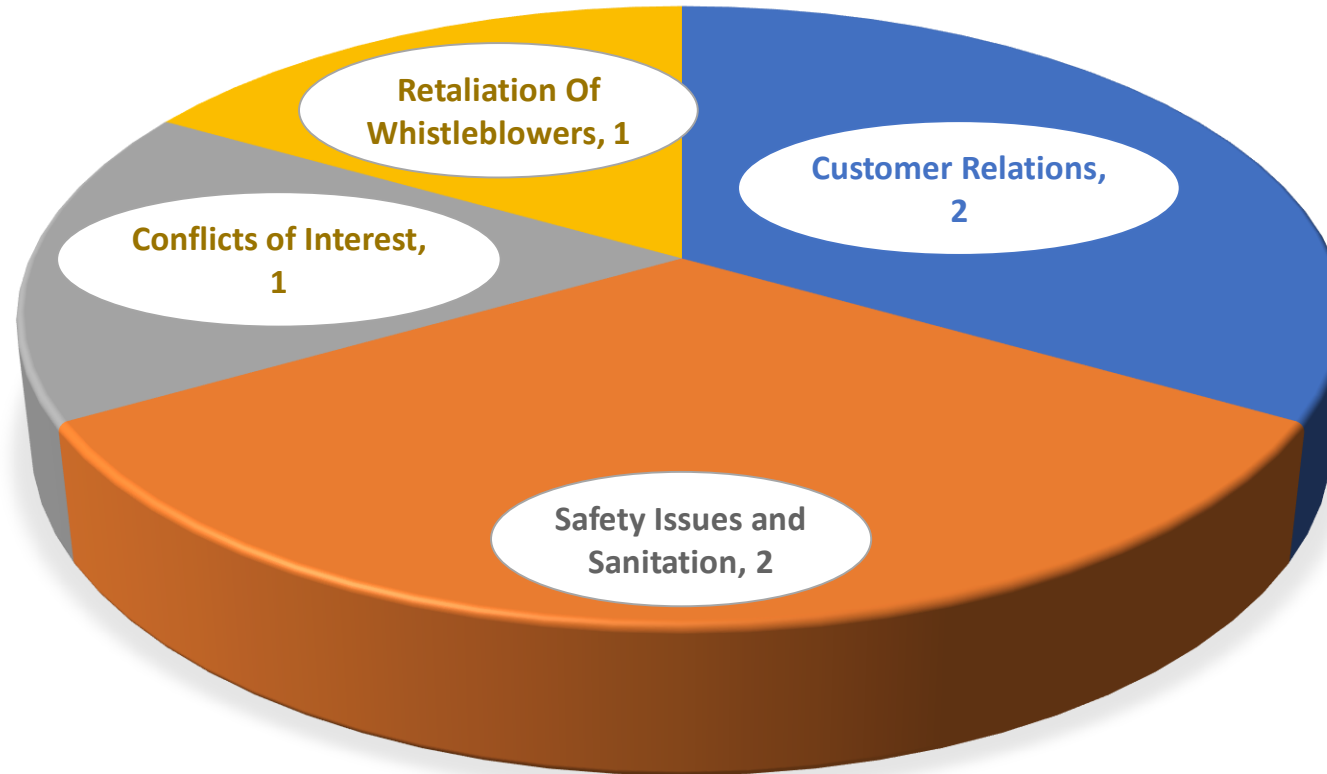
4th Quarter FY 2021 Fraud Waste and Abuse Report

SUMMARY STATUS – 28 Cases



4th Quarter FY 2021 Fraud Waste and Abuse Report

CLOSED CASES (6)



4th Quarter FY 2021

Fraud, Waste and Abuse Report

Closed Cases Outcomes

ISSUE TYPE	NUMBER	ALLEGATION/INQUIRY	DISPOSITION
Customer Relations	2	Incorrect billing; inability to pay bill received for water/sewer charges; and issues with water meter and payment processing.	Referred to Customer Services and Utility Services. All issues are resolved.
Safety Issues and Sanitation	2	WSSC customers claim of illegal construction piping; and City contractor damaged water meter box frame and lid during sidewalk replacement.	Referred to Utility Services. All issues are resolved.

4th Quarter FY 2021

Fraud, Waste and Abuse Report

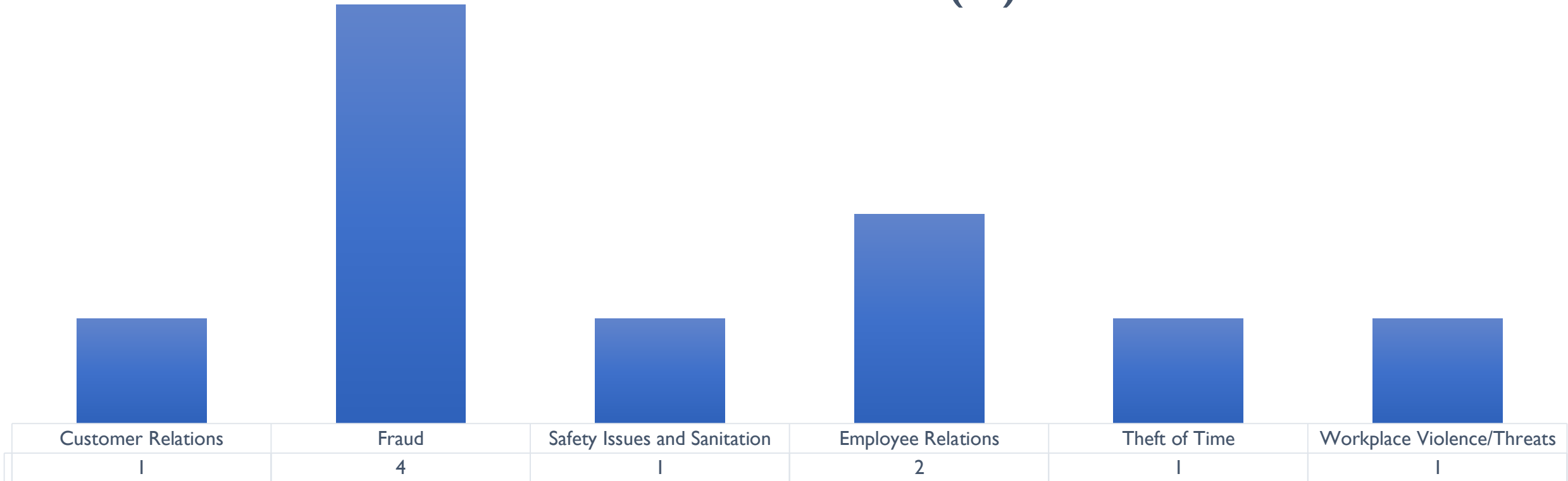
Closed Cases Outcomes

ISSUE TYPE	NUMBER	ALLEGATION/INQUIRY	DISPOSITION
Conflicts of Interest	1	Claim that a former WSSC employee developed a program, left WSSC then bid/won a contract to manage the same program.	The Board of Ethics determined that there lacked reasonable cause to believe that a violation of the Code of Ethics had occurred as required by Code Chapter I.70.080(c).
Retaliation of Whistleblower	1	The reporter stated that they observed an WSSC employee put the incorrect time for performing tests in an official log.	The Complainant failed to provide any details relating to any alleged personnel action taken by the Respondent.

4th Quarter FY 2021

Fraud Waste and Abuse Report

IN PROGRESS CASES - (10)



4th Quarter FY 2021 Fraud Waste and Abuse Report

NEW CASES – (9)

Customer Relations, 3

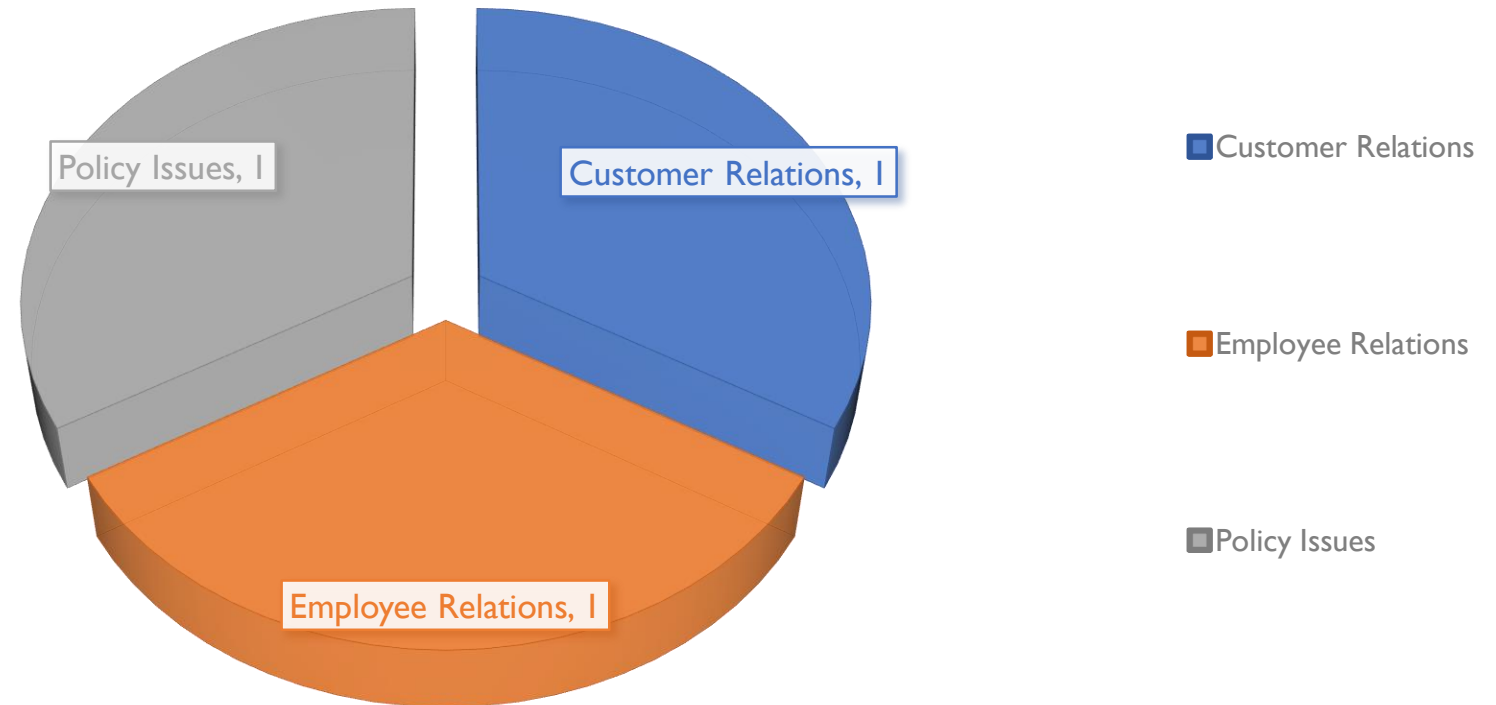
Fraud, 1

Safety Issues and Sanitation, 2

Conflicts of Interest, 2

Accounting/Audit Irregularities, 1

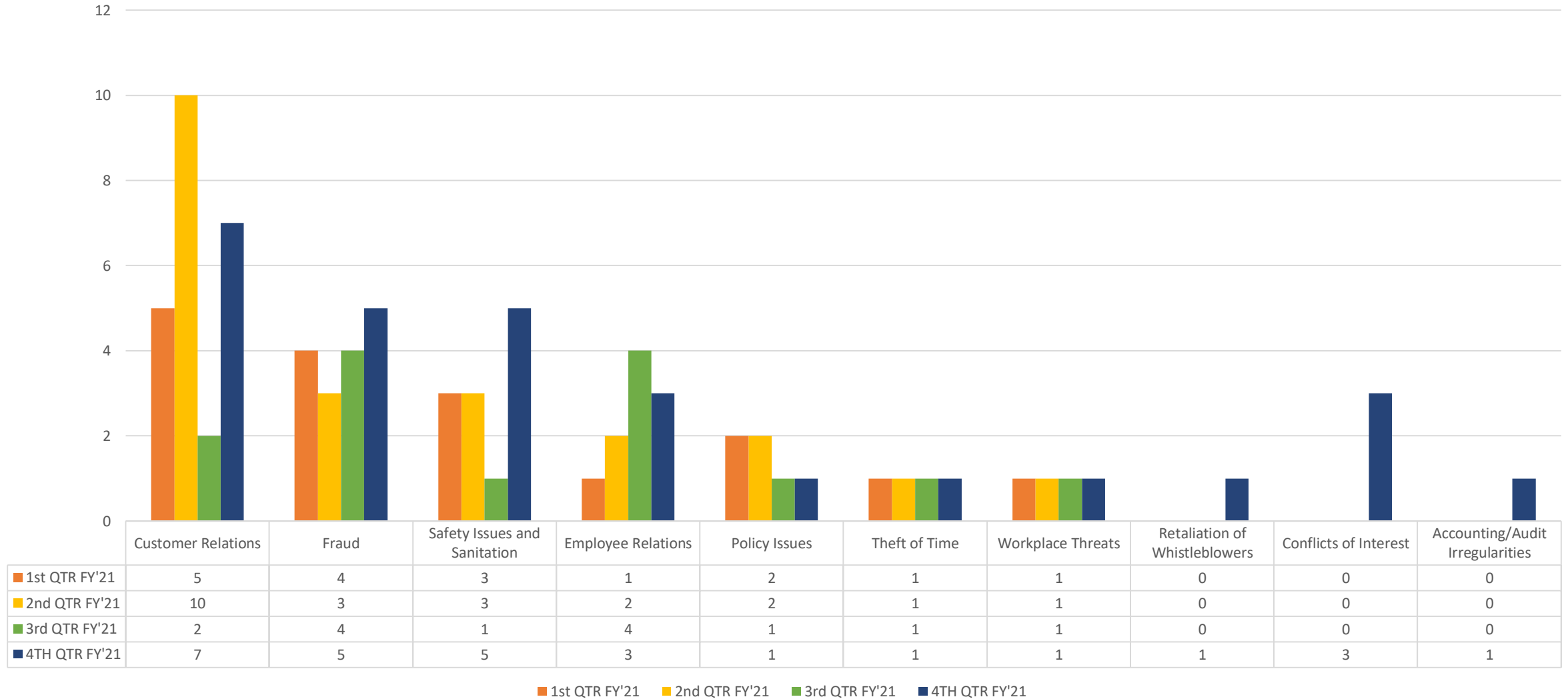
4th Quarter FY 2021 Fraud Waste and Abuse Report



CASES ON HOLD (3)

Hold = Investigation paused
(Two cases pending legal matters, One case due to pandemic)

FY 2021 - Quarterly Comparison



Questions?

